## **PATENTS**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Atty. Docket No.:

061765.00334

(3179/01/US)

John C. ALEXANDER et al.

Serial No.:

09/914,872

Int'l Appln. No.

PCT/US00/05633

Filed:

7

March 3, 2000

For:

COMBINATION THERAPY OF ANGIOTENSIN CONVERTING ENZYME INHIBITOR AND EPOXY-STEROIDAL ALDOSTERONE ANTAGONIST FOR TREATMENT OF CARDIOVASCULAR DISEASE

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. § 371

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

In response to the above-noted Notification of Missing Requirements Under 35 U.S.C. § 371, Applicants submit herewith the following items:

- (1) Joint Declaration and Power of Attorney executed by inventors John C. Alexander, Barbara Roniker and Subhash Desai;
- (2) Assignment, Assignment Recordation Cover Sheet; and
- (3) Authorization to charge the necessary \$130 surcharge, an extension of time fee of \$400, and Assignment Recordation fee of \$40.00, to our Deposit Account No. 19-0733, for a total of \$570.

02/11/2002 UEDUVIJE 00000123 190733 09914872

01 FC:154

130.00 Ci 400.00 Ci

## Application Serial No. 09/914,872

Docket No. 061765.00334 (3179/01/US)

No additional fees are believed to be due. However, if any additional fees are required or an overpayment of fees made, please debit or credit our Deposit Account No. 19-0733, as necessary.

Respectfully submitted,

Date: February 7, 2002

By:

Registration No. 38,266

BANNER & WITCOFF, LTD. 1001 G Street, N.W., 11<sup>th</sup> Floor Washington, D.C. 20001 (202) 508-9100 (general office line) (202) 508-9187 (direct office line) (202) 508-9299 (office fax)

#### Attachments:

Joint Declaration and Power of Attorney
Request for Recordation of Assignment
Assignment (executed)
Fee Transmittal
Copy of Notification of Missing Requirements Under 37 U.S.C. 371

JCO7 Rec'd PCT/PTO 0.7 FEB 2002
Approved on use through 10/31/2002. OMB 0657-0032
at and Trademay office: U.S. DEPARTMENT OF COMMERCE
of information it displays a valid OMB control number.

#3

FEE TO ANOMITTAL		Complete if Known			
TPEEE TRANSMITTAL	Application Number	09/914,872			
ov Fy 2002	Filing Date	March 3, 2000			
FEB 0 7 2002 원	First Named Inventor	John C. ALEXANDER et al.			
Pathat fees are subject to annual revision.	Examiner Name	Unassigned			
The state of the s	Group / Art Unit	Unassigned			
TOTAL AMOUNT OF PAYMENT (\$) 1850	Attorney Docket No.	061765.00334 (3179/1/US)			

TOTAL AMOUNT OF PAYMENT (\$) 1850				Attorney Docket No.   061765.00334 (3179/1/05)						
	METHOD OF P	AYMENT (check	( one)					FEE C	ALCULATION (continued)	
1. 🛛			uthorized to charge ver payments to:		3. ADD	ITIONAL Large Entity	FEES	Small Entity		
Deposit Account	19-0733	· · · · · · · · · · · · · · · · · · ·			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number		105	130	205	65	Surcharge - late filing fee or oath	130			
- "				1	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit Account	Banner & Wito	coff			139	130	139	130	Non-English specification	
Name					147	2,520	147	2,520	For filing a request for reexamination	
☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17			112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
See 37 CF		y status.			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. Paymen	t Enclosed:				115	110	215	55	Extension for reply within first month	
☐ Check ☐ Credit card ☐ Money ☐ Other Order				116	400	216	200	Extension for reply within second month	400	
	FEE CA	ALCULATION			117	920	217	460	Extension for reply within third month	
1. BASIC FIL			<del></del>		118	1,440	218	720	Extension for reply within fourth month	
Large Entity S	-				128	1,960	228	980	Extension for reply within fifth month	
	ee Fee ode (\$)	Fee Description	Fee Paid		119	320	219	160	Notice of Appeal	
• • •	,	Utility filing fee		٦	120	320	220	160	Filing a brief in support of an appeal	
		Design filing fee		7	121	280	221	140	Request for oral hearing	
		Plant filing fee			138	1,510	138	1,510	Petition to institute a public use proceeding	
108 740 2		Reissue filing fee	·		140	110	240	55	Petition to revive – unavoidable	
14 160 2	14 80	Provisional filling	fee	J	141	1,280	241	640	Petition to revive – unintentional	
	SUBTOTAL	(4)	(\$) 740	٦	142	1,280	242	640	Utility issue fee (or reissue)	
	30010171	- (1)	(4) 140		143	460	243	230	Design issue fee	
EXTRA CLAIM	FEES				144	620	244	310	Plant issue fee	
			ee from Fee elow Paid		122	130	122	130	Petitions to the Commissioner	
otal Claims 50	-20 ** = [	30 X	18 = 540	٦ .	123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
dependent aims 3	-3" =	0 x	84 = 0	1	126	180	126	180	Submission of Information Disclosure Stmt	
ultiple ependent			= 0		581	40	581	40	Recording each patent assignment per property (times number of properties)	40
arge Entity	Small Entity	y		7	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Fee Fee Code (\$) 103 18	Fee Fee Code (\$)	Fee Descripti			149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
103 18 102 84	203 9 202 42	Claims in exce	daims in excess of 3		179	740	279	370	Request for Continued Examination (RCE)	
104 280	202 42		ndent claim, if not paid		169	900	169	900	Request for expedited examination	
109 84	209 42		lependent claims over		103	300	109	300	of a design application	
110 18	210 9	** Reissue cla over original p	ims in excess of 20 are	nd	Other fe	e (specif	v)			
	SI	UBTOTAL (2)	(\$) 540			ed by Ba		r Fee P:	aid SUBTOTAL (3) (5) 570	
**or number previous		'		-	Neout	ou by Da	OFF FIRE	9 1 55 7	(\$) 570	

SUBMITTED BY				Co	mplete (if applicable)
Name (Print/Type)	Ajay Pathak	Registration No. Attorney/Agent)	38,266	Telephone	202-508-9187
Signature	apay Va	that		Date	February 7, 2002

WARNING: Uformation on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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U.S. APPLICATION NUMBE	ER NO.	FIRST NAMED APPLICANT	ATT	Y. DOCKET NO	
09/914,872		John C Alexander	61	61765.00334	
			INTERNATIONAL AF	PLICATION NO.	
		_	PCT/US00	/05633	
			I.A. FILING DATE	PRIORITY DATE	
Banner & Witcoff Eleventh Floor	NOV	8 200t	03/03/2000	03/05/1999	

1001 G Street , N.W. Wasington, DC 20001-4597

**CONFIRMATION NO. 4170 371 FORMALITIES LETTER** 

OC000000007010559\*

Date Mailed: 11/02/2001

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments

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The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

• \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the

provisions of 37 CFR 1.136(a).

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:



• \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

## CHARITTA A BURT

Telephone: (703) 305-3734

#### PART 1 - ATTORNEY/APPLICANT COPY

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
09/914.872	PCT/US00/05633	61765.00334